

Connecticut State Board of Accountancy
Meeting Agenda
Thursday, December 5, 2013 – 8:30 A.M.

Second Floor Conference Room
30 Trinity St., Hartford, CT 06106
860-509-6179
sboa@ct.gov

OFFICE AND BOARD OPERATIONS AND ADMINISTRATION

1. **Action required** – Approve Minutes of the November 15, 2013 Board Meeting
2. **Approve 2014 Meeting Dates**
3. **Board members to discuss NASBA Focus questions**
4. **Action required** – ALD Disciplinary decision

INFORMATION REPORTS

5. **ALD – Date of Birth & last 4 Social Security Number**

EXAM AND LICENSING

6. **Action required – Industry, Government, or Self Employed experience, and Other Applications**
Wonjung Hwangbo- application for Reciprocal CPA Certificate & Initial CPA License: Public Accounting experience, has **NO** checked off on 2 boxes in section 7 of SBA-12 form.
Daniel Pappalardo – Application for Reciprocal CPA Certificate & Initial CPA License; Public and Industry Accounting experience. Application tabled at the September 13, 2013 Board Meeting and October 3, 2013 board meeting. Board asked that Mr. Pappalardo be present at meeting to clarify some questions they may ask relating to his experience. (**Attending Meeting**)
Stephanie Henry- Saturne – Application for Initial CPA Certificate & Initial CPA License; Industry Accounting experience.
7. **Review Firm permits for approval**

PCAOB Inspection Reports Received – member comment on review

- Review date July 31, 2013 Report on **Hoberman, Goldstein & Lesser, P.C.**
KPMG LLP
McGladrey & Pullen, LLP
PricewaterhouseCoopers LLP
- Review date August 27, 2013 Report on **Ernst & Young LLP**
Friedman LLP
KPMG LLP

8. **EXAMINATION AND CPE EXTENSION**
Stanley L. Cusick- requesting waiver of CPE
Louis Sioles – requesting waiver of CPE
Rebekka Laskowski – requesting extension

OTHER BUSINESS

9. **Enforcement Cases**
2011026 - 3578 James Fredrick
2013-44 - Scott L. Adams

2013-35 - Judith A Nagle
201 -103779 - Financial Directions, Inc.
2013-26 - Robert A. Vance
2011005-3553 - Paula V. Borsari - Hearing
2013-30 - Benjamin M. Chepovsky
2013-38 - Robert R. Reich
2013-36 - James J. Bascetta, CPA
2013-42 - Douglas H. Greene
2013-37 – Stanislaw M. Szczepanik
2012009-3757- James R. Levy
2012-103773 - James R. Levy
2012-103777 - James R. Levy
2012-3793 - James R. Levy
2012-103778 - James R. Levy
2013-21 - James R. Levy

PUBLIC COMMENT

Opportunity for members of the public to address the Board

Next scheduled meeting:

- Tuesday, January 7, 2014 -8:30 AM- 2nd Floor, 30 Trinity Street, Hartford, CT

Subcommittees will meet after the regular meeting.

Experience Requirements/SBA-12/CPA Exam/Licensing

Dick Gesseck

Martha Triplett

Len Romaniello

Philip DeCaprio